

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime; et. al.

Assignee: Cardica, Inc.

Title: Method for Cutting Tissue

Serial No.: Not yet assigned Filing Date: October 31, 2003

Examiner: Not yet assigned Group Art Unit: Not yet assigned

Docket No.: 146

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

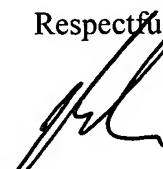
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98(d) and MPEP 609(I)(A)(2), copies of references listed on the form PTO-1449 and of explanations of relevance of non-English-language references that have been submitted in a parent application are not included herewith, because this application is a continuation under 37 CFR 1.53(b) of U.S. Patent Application Serial No. 09/542,976.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,



Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		2,370,776	3/1/1945	CARLSON			
		3,254,650	6/7/1966	COLLITO			
		3,254,651	6/7/1966	COLLITO			
		3,519,187	7/7/1970	KAPITANOV, ET AL			
		3,774,615	11/27/1973	LIM, ET AL			
		4,118,806	10/10/1978	PORIER ET AL			
		4,214,587	7/29/1980	SAKURA, JR			
		4,217,664	8/19/1980	FASO			
		4,350,160	9/21/1982	KOLESOV, ET AL			
		4,352,358	10/5/1982	ANGELCHIK			
		4,366,819	1/4/1983	KASTER			
		4,368,736	1/18/1983	KASTER			
		4,503,568	3/12/1985	MADRAS			
		4,523,529	6/18/1985	DANIEL			
		4,534,761	8/13/1985	RAIBLE			
		4,553,542	11/19/1985	SCHENCK, ET AL			
		4,577,631	3/25/1986	KREAMER			
		4,589,416	5/20/1986	GREEN			
		4,593,693	6/10/1986	SCHENCK			
		4,603,693	8/5/1986	CONTA, ET AL.			
		4,607,637	8/26/1986	BERGGREN, ET AL			
		4,624,255	11/25/1986	SCHENCK, ET AL			
		4,624,257	11/25/1986	BERGGREN, ET AL			
		4,657,019	4/14/1987	WALSH, ET AL			
		4,665,906	5/19/1987	JERVIS			
		4,721,109	1/26/1988	HEALEY			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

--	--	--

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use separate sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		4,747,407	5/31/1988	LIU, ET AL			
		4,752,024	6/21/1988	GREEN, ET AL.			
		4,773,420	9/27/1988	GREEN			
		4,861,330	8/29/1989	VOSS			
		4,875,815	10/1/1989	PHILLIPS II			
		4,883,453	11/28/1989	BERRY, ET AL.			
		4,892,098	1/9/1990	SAUER			
		4,907,591	3/13/1990	VASCONCELLOS, ET AL			
		4,917,087	4/17/1990	WALSH, ET AL			
		4,917,090	4/17/1990	BERGGREN, ET AL			
		4,917,091	4/17/1990	BERGGREN, ET AL			
		4,930,674	6/5/1990	BARAK			
		5,005,749	4/9/1991	ARANYI			
		5,015,238	5/14/1991	SOLOMON ET AL			
		5,062,842	11/5/1991	TIFFANY			
		5,089,006	2/18/1992	STILES			
		5,100,423	3/1/1992	FEARNOT, NEAL E.			
		5,104,025	4/14/1992	MAIN, ET AL.			
		5,119,983	6/9/1992	GREEN, ET AL			
		5,129,913	7/14/1992	RUPPERT			
		5,156,613	10/20/1992	SAWYER			
		5,156,619	10/20/1992	EHRENFELD			
		5,171,262	12/15/1992	MACGREGOR			
		5,178,634	1/12/1993	RAMOS MARTINEZ			
		5,187,796	2/16/1993	WANG, ET AL.			
		5,192,289	3/9/1993	JESSEN			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,193,731	3/16/1993	ARANYI			
		5,205,459	4/27/1993	BRINKERHOFF, ET AL.			
		5,211,683	5/18/1993	MAGINOT			
		5,217,474	6/8/1993	ZACCA, ET AL			
		5,221,281	6/22/1993	KLICEK			
		5,222,963	6/29/1993	BRINKERHOFF, ET AL.			
		5,234,447	8/10/1993	KASTER, ET AL			
		5,250,058	10/5/1993	MILLER, ET AL.			
		5,250,060	10/5/1993	CARBO, ET AL			
		5,271,544	12/21/1993	FOX, ET AL.			
		5,275,322	1/4/1994	BRINKERHOFF, ET AL.			
		5,285,945	2/15/1994	BRINKERHOFF, ET. AL.			
		5,290,298	3/1/1994	REBUFFAT, ET AL.			
		5,292,053	3/8/1994	BILOTTI, ET AL.			
		5,304,220	4/19/1994	MAGINOT			
		5,314,435	5/24/1994	GREEN, ET AL.			
		5,314,468	5/24/1994	MARTINEZ			
		5,326,205	7/1/1994	ANSPACH, JR ET AL.			
		5,333,773	8/2/1994	MAIN, ET AL.			
		5,336,233	8/9/1994	CHEN			
		5,350,104	9/27/1994	MAIN, ET AL.			
		5,354,302	10/11/1994	KO			
		5,364,389	11/15/1994	ANDERSON			
		5,366,462	11/22/1994	KASTER, ET AL			
		5,392,979	2/28/1995	GREEN, ET AL.			
		5,395,030	3/7/1995	KURAMOTO, ET AL.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,395,311	3/7/1995	ANDREWS			
		5,401,131	3/1/1995	YOSHINO			
		5,443,497	8/22/1995	VENBRUX			
		5,447,514	9/5/1995	GERRY, ET AL.			
		5,454,825	10/3/1995	VAN LEEUWEN			
		5,456,712	10/10/1995	MAGINOT			
		5,456,714	10/10/1995	OWEN			
		5,464,449	11/7/1995	RYAN, ET AL			
		5,465,895	11/14/1995	KNODEL, ET AL.			
		5,478,354	12/26/1995	TOVEY			
		5,503,635	4/2/1996	SAUER, ET AL.			
		5,515,478	5/7/1996	WANG			
		5,522,834	6/4/1996	FONGER, ET AL			
		5,524,180	6/4/1996	WANG, ET AL			
		5,533,661	7/9/1996	MAIN, ET AL.			
		5,540,677	7/30/1996	SINOFSKY			
		5,553,198	9/3/1996	WANG, ET AL.			
		5,556,405	9/17/1996	LARY			
		5,558,667	9/24/1996	YARBOROUGH, ET AL			
		5,571,167	11/5/1996	MAGINOT			
		5,603,721	2/1/1997	LAU ET AL			
		5,643,340	7/1/1997	NUNOKAWA			
		5,645,520	7/8/1997	NAKAMURA, ET AL.			
		5,657,429	8/12/1997	WANG, ET AL.			
		5,667,513	9/1/1997	TORRIE, ET AL			
		5,667,520	9/1/1997	BONUTTI, PETER M.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use separate sheets if necessary)

ATTY. DOCKET NO. 146	SERIAL NO. N/A
APPLICANT: Vargas, Jaime et al.	
FILING DATE: 10/31/03	GROUP: N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,669,918	9/23/1997	BALAZS, ET AL			
	5,669,934	9/23/1997	SAWYER			
	5,676,670	10/14/1997	KIM			
	5,693,088	12/2/1997	LAZARUS			
	5,695,504	12/9/1997	GIFFORD III, ET AL			
	5,702,412	12/30/1997	POPOV, ET AL			
	5,707,362	1/13/1998	YOON			
	5,707,380	1/13/1998	HINCHLIFFE, ET AL			
	5,709,335	1/20/1998	HECK			
	5,709,693	1/20/1998	TAYLOR			
	5,725,544	3/10/1998	RYGAARD			
	5,725,553	3/10/1998	MOENNING			
	5,732,872	3/31/1998	BOLDUC ET AL			
	5,754,741	5/19/1998	WANG, ET AL.			
	5,755,778	5/1/1998	KLESHINSKI			
	5,762,458	6/9/1998	WANG, ET AL.			
	5,779,718	7/14/1998	GREEN, ET AL.			
	5,792,135	8/11/1998	MADHANI, ET AL.			
	5,797,900	8/25/1998	MADHANI, ET AL.			
	5,797,920	8/25/1998	KIM			
	5,799,661	9/1/1998	BOYD, ET AL.			
	5,799,857	9/1/1998	ROBERTSON, ET AL.			
	5,807,377	9/15/1998	MADHANI, ET AL.			
	5,814,073	9/29/1998	BONUTTI			
	5,815,640	9/29/1998	WANG, ET AL.			
	5,817,113	10/6/1998	GIFFORD III, ET AL			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:

Vargas, Jaime et al.

FILING DATE:

10/31/03

GROUP:

N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		5,827,316	10/27/1998	YOUNG, ET AL.			
		5,833,698	11/10/1998	HINCHLIFFE, ET AL.			
		5,833,698	11/1/1998	HINCHLIFFE, ET AL.			
		5,841,950	11/24/1998	WANG, ET AL.			
		5,855,583	1/5/1999	WANG, ET AL.			
		5,868,761	2/1/1999	NICHOLAS, ET AL.			
		5,868,763	2/9/1999	SPENCE, ET AL.			
		5,875,782	3/2/1999	FERRARI, ET AL.			
		5,878,193	3/2/1999	WANG, ET AL.			
		5,879,371	3/9/1999	GARDINER, ET AL.			
		5,881,943	3/16/1999	HECK ET AL			
		5,893,369	4/1/1999	LEMOLE			
		5,904,697	5/18/1999	GIFFORD III, ET AL			
		5,907,664	5/25/1999	WANG, ET AL.			
		5,911,036	6/8/1999	WRIGHT, ET AL.			
		5,915,616	6/29/1999	VIOLA, ET AL.			
		5,921,995	7/13/1999	KLESHINSKI			
		5,944,730	8/31/1999	NOBLES ET AL			
		5,947,363	9/7/1999	BOLDUC ET AL			
		5,957,363	9/28/1999	HECK			
		5,968,089	10/19/1999	KRAJICEK			
		5,976,159	11/2/1999	BOLDUC ET AL			
		6,001,124	12/14/1999	BACHINSKI			
		6,007,544	12/28/1999	KIM			
		6,013,190	1/11/2000	BERG, ET AL.			
		6,015,416	1/18/2000	STEFANCHIK, ET AL.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use ~~s~~ ~~v~~ ~~ral~~ ~~sh~~ ~~ts~~ if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		6,022,367	2/1/2000	SHERTS			
		6,024,748	2/15/2000	MANZO, ET AL.			
		6,030,370	2/29/2000	KUPKA, ET AL.			
		6,030,395	2/29/2000	NASH, ET AL.			
		6,036,699	3/14/2000	ANDREAS, ET AL.			
		6,036,700	3/14/2000	STEFANCHIK, ET AL.			
		6,036,702	3/14/2000	BACHINKSKI, ET AL.			
		6,036,703	3/14/2000	EVANS, ET AL.			
		6,036,704	3/14/2000	YOON			
		6,036,705	3/14/2000	NASH, ET AL.			
		6,050,472	4/18/2000	SHIBATA			
		6,053,390	4/25/2000	GREEN, ET AL.			
		6,056,762	5/2/2000	NASH, ET AL.			
		6,066,144	5/23/2000	WOLF, ET AL.			
		6,066,148	5/23/2000	RYGAARD			
		6,068,637	5/30/2000	POPOV, ET AL.			
		6,074,416	6/13/2000	BERG, ET AL.			
		6,080,167	6/27/2000	LYELL			
		6,080,173	6/27/2000	WILLIAMSON, IV, ET AL.			
		6,083,234	7/4/2000	NICHOLAS, ET AL.			
		6,110,188	8/29/2000	NARCISO, JR			
		6,113,612	9/5/2000	SWANSON, ET AL			
		6,117,148	9/12/2000	RAVO, ET AL.			
		6,120,432	9/19/2000	SULLIVAN, ET AL.			
		6,132,439	10/1/2000	KONTOS, STAVROS			
		6,146,393	11/14/2000	WAKABAYASHI			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:

Vargas, Jaime et al.

FILING DATE:

10/31/03

GROUP:

N/A

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		6,149,681	11/21/2000	HOUSER, ET AL.			
		6,152,937	11/28/2000	PETERSON, ET AL			
		6,152,945	11/28/2000	BACHINSKI, ET AL.			
		6,165,185	12/26/2000	SHENNIB ET AL			
		6,167,889	1/2/2001	BENETTI			
		6,171,319	1/9/2001	NOBLES, ET AL.			
		6,171,321	1/9/2001	GIFFORD, III ET AL.			
		6,176,413	1/23/2001	HECK, ET AL.			
		6,176,864	1/23/2001	CHAPMAN			
		6,186,942	2/13/2001	SULLIVAN, ET AL.			
		6,187,019	2/13/2001	STEFANCHIK, ET AL.			
		6,187,020	2/13/2001	ZEGDI, ET AL.			
		6,190,396	2/20/2001	WHITIN, ET AL.			
		6,190,397	2/20/2001	SPENCE, ET AL.			
		6,190,590	2/20/2001	RANDALL, ET AL.			
		6,193,129	2/27/2001	BITTNER, ET AL.			
		6,193,734	2/27/2001	BOLDUC, ET AL.			
		6,206,912	3/27/2001	GOLDSTEEN, ET AL.			
		6,206,913	3/27/2001	YENCHO, ET AL.			
		6,235,054	5/22/2001	BERG, ET AL.			
		6,371,964	4/1/2002	VARGAS, ET AL.			
		6,402,764	6/1/2002	HENDRICKSON, ET AL.			
		6,428,550	8/1/2002	VARGAS, ET AL.			
		2001/0047180A1	11/1/2001	GRUDEM ET AL.			
		2002/0058955A1	12/1/2001	BLATTER ET AL.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	2316910	4/2/77	FRANCE			
	19732234	1/28/99	GERMANY			
	29713336	11/27/97	GERMANY			
	0 517 252	12/1/92	EUROPE			
	0 701 800	3/20/96	EUROPE			
	0 820 724	1/3/00	EUROPE			
	0 820 725	1/3/00	EUROPE			
	0 885 595	12/23/98	EUROPE			
	0 913 125	7/5/00	EUROPE			
	0 938 870	9/1/99	EUROPE			
	0 990 420	12/27/00	EUROPE			
	00/09040	2/1/00	PCT			
	00/10486	3/2/00	PCT			
	00/12013	3/9/00	PCT			
	00/15144	3/23/00	PCT			
	00/15146	3/23/00	PCT			
	00/15147	3/23/00	PCT			
	00/15148	3/23/00	PCT			
	00/15149	3/23/00	PCT			
	00/27310	5/18/00	PCT			
	00/27311	5/18/00	PCT			
	00/27312	5/18/00	PCT			
	00/27313	5/18/00	PCT			
	00/33745	6/15/00	PCT			
	00/41633	7/20/00	PCT			
	00/53104	9/14/00	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**  
(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	00/56223	9/28/00	PCT			
	00/56226	9/28/00	PCT			
	00/56227	9/28/00	PCT			
	00/56228	9/28/00	PCT			
	00/59380	10/12/00	PCT			
	00/66007	11/9/00	PCT			
	00/66009	11/19/00	PCT			
	00/69343	11/23/00	PCT			
	00/69346	11/23/00	PCT			
	00/69349	11/23/00	PCT			
	00/69364	11/23/00	PCT			
	00/72764	12/7/00	PCT			
	00/74579	12/14/00	PCT			
	00/76405	12/21/00	PCT			
	01/08601	2/8/01	PCT			
	01/12074	2/22/01	PCT			
	01/15607	3/8/01	PCT			
	01/17440	3/15/01	PCT			
	01/19257	3/22/01	PCT			
	01/19259	3/22/01	PCT			
	01/19284	3/22/01	PCT			
	01/34037	5/17/01	PCT			
	92/08513	5/1/92	PCT			
	96/25886	8/29/96	PCT			
	97/25002	7/17/97	PCT			
	97/27898	8/1/97	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

--	--	--

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.  
146SERIAL NO.  
N/AAPPLICANT:  
Vargas, Jaime et al.FILING DATE:  
10/31/03GROUP:  
N/A

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	97/31575	9/4/97	PCT			
	97/47261	12/18/97	PCT			
	98/07399	2/26/98	PCT			
	98/19608	5/14/98	PCT			
	98/19618	5/14/98	PCT			
	98/19625	5/14/98	PCT			
	98/19629	5/1/98	PCT			
	98/19630	5/1/98	PCT			
	98/19631	5/14/98	PCT			
	98/19632	5/14/98	PCT			
	98/19634	5/14/98	PCT			
	98/19636	5/14/98	PCT			
	98/30153	7/16/98	PCT			
	98/37814	9/3/98	PCT			
	98/40036	9/17/98	PCT			
	98/42262	10/1/98	PCT			
	98/47430	10/29/98	PCT			
	98/55027	12/10/98	PCT			
	99/08603	2/25/99	PCT			
	99/11178	3/11/99	PCT			
	99/17665	4/1/99	PCT			
	99/18887	4/1/99	PCT			
	99/21491	5/1/99	PCT			
	99/37218	7/29/99	PCT			
	99/38441	8/5/99	PCT			
	99/38454	8/1/99	PCT			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

**ATTY. DOCKET NO.**

SERIAL NO.  
N/A

**APPLICANT:**  
Vargas, Jaime et al.

**FILING DATE:**  
10/31/03

**GROUP:**  
N/A

## FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Table 1. Summary of the main characteristics of the four groups of patients.

**EXAMINER:** \_\_\_\_\_ **DATE CONSIDERED:** \_\_\_\_\_

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant